Background of Bruce Zagaris’ Lusophone Work

1980 - Advise clients, including foreign governments, law firms, and individuals, on international business and tax law, international enforcement, commercial litigation, U.S. tax audits, U.S. voluntary disclosures, and money movement issues involving Brazilian persons.

1982-1983 Legal work for a shrimp joint venture in Recife.

1994-1995 As a USAID subcontractor, advise on the creation of a ship registry in Capo Verde.

1996 Advise Brazilian financial institution that was an unindicted co-conspirator in a U.S. criminal case, subsequent anti-money laundering due diligence and compliance work.

1998-2001 Advise U.S. national imprisoned in Portugal on potential U.S. criminal charges.

1999 Advise non-governmental organization on defamation case involving Angolan human rights defender and journalist.

2002 Advise U.S. defense counsel on fraud charges in Portugal.

2003 Advise on private international law in the context of a promissory note in a U.S.-Brazil trade matter.

2004 Transactional work on Brazil-Central America ethanol joint venture.

2005 Advise Brazilian government in meetings of the Ministerio Público in Salvador, São Paulo, Belem, and of Estrategia Nacional Contra Corrupcão e Lavagem de Dinheiro (ENCCLA) in Vitória.

2006 Represent Brazilian defense contractor in procurement dispute before the Brazilian Aeronautical Commission in Washington, D.C.

2006-2014 Help U.S. law firm enforcement U.S. civil judgment in Guarapari, Brazil.

2006-2009 Advise Financial Institutions on Regulatory and Enforcement Aspects of Brazilian Investment in the U.S.

2005-2010 Advise Brazilians on mutual assistance in criminal matters requests between Brazil and the U.S.

2007 Testify as expert in William Croff v. John Juergensen, Court Of Common Pleas Stark County, Ohio, Case #2006CV04782 (re defending kidnapping charges in Brazil).

2008 Serve as an expert witness in U.S. District Court, E.D.Va. for former inhouse counsel of U.S. multinational company on tax enforcement, money laundering, and ethical issues involving non-payment of VAT tax in Brazil.

2008 Teach 2-week international criminal law course at the State Univ. of Rio de Janeiro with the participation of the Ministry of Justice.

2009- Assist U.S.-Brazilian Dual Nationals on U.S. Offshore Tax Voluntary Disclosure Program

2010 Assist bank in Lusophone Africa with respect to issues of anti-money laundering and financial regulation in U.S. Congress

2015 Observe on behalf of ABA Center for Human Rights criminal proceedings of human rights defender in Luanda, Angola

2016 Assist Brazilian business whose assets were frozen by US Prosecutor

2021 Counseled and negotiated separation agreement for Brazilian executive working with high-tech company

Speaking

In the 1980s, he spoke on “Os incentivos para investir no Caribe” (Incentives for Brazilians to Invest in the Caribbean) at AIJA and Associação Comercial.

In July 1991, he lectured in Spanish for one week on international criminal law at the OAS Inter-American Academy of International Law in Rio.

On July 28, 1992, he spoke in New York City on international money laundering at a luncheon of the Brazilian-American Chamber of Commerce.

In 1993, he was a USIA Ampart speaker on money laundering in Lisbon, Portugal.

In 2003, he spoke at a conference on anti-money laundering in São Paulo, and then a joint session of two committees of the Brazilian Congress on international criminal cooperation. He delivered a public lecture at the Law Faculty of the Univ. of São Paulo.

In December 2005, he was the keynote speaker at the Money Laundering Strategy. Conference of the Brazil government in Vitoría, Brazil.

In Nov.-Dec., 2005, he spoke at programs of the Ministério Público in Salvador, São Paulo, and Belem.

In Sept. 2007, he spoke at the Iº Congresso Internacional Rede LFG e IPAN, Crime, Justiça e Violãncia, IIª Jornada de Professores de Direito Penal do Mercosul, in Sao Paolo, Brazil.

In Sept. 2008, he spoke on the U.S.-Brazil MLAT at the Brazilian Institute of International Juridical Cooperation in Brasilia.

In Sept. 2008, he spoke on U.S. asset forfeiture at the Criminal Justice Seminar of the Association of the Bar of São Paulo.

In October 2008, he spoke to Brazil government officials at the Institute of Anti-Corruption at George Washington University.

In 2010, he spoke to a group of international law practitioners on the U.S.-Brazil MLAT at the São Paulo office of the law firm of Barbosa Müssnich & Aragão.

In June 2012, he spoke at the Instituto Brasileiro de Direito Empresarial (IBRADEMP) in São Paulo on Corporate and Directors/Officers Liability in the U.S.

In May 2015, he spoke at the Law Faculty of the Univ. of Sao Paulo on pre-conviction preventive measures and compulsory programs as substitutes for corporate punishment.

In May 2015, he spoke on information exchange: public registers and privacy – the international perspective at the Private Wealth Latin America program organized by IBC

In June 2015, he participated in a podcast by the Assoc. of Certified Financial Crime Specialists on the Petrobras corruption scandal.

<http://www.acfcs.org/corruption-experts-underscore-the-vast-scale-of-the-petrobras-kickback-scandal/>

On March 30, 2017, He spoke on “The Odebrecht Effect” at a panel at the George Washington Univ. Brazil Initiative.

Selected Publications

*Developments in Brazil-United States Tax and Anti-Corruption Enforcement Cooperation,*

1 Revista Científica do CPJM - Centro de Pesquisa em Crimes Empresariais e Compliance 1-24(2021); and Criminalidade Económica e Empresarial: Escritos em homenagem ao professor Artur Gueiros 197-223 (Tirant Lo lanch Brasil).

Book Review of Brazilian Politics on Trial: Corruption & Reform Under Democracy by Luciano da Ros & Matthew M. Taylor (2022), 38 Int’l Enforcement L. Rep. (June 2022).

*Questtes Éticas e Legais No Planejamento de Offshore (translated)*, Responsabilidade Penal Na Atividade Economico-Empresarial (Antonio Ruiz Filho e Leonardo Sica, Coordenacao) (Editora Quartier Latin do Brasil -www.quartierlatin.art.br <http://www.quartierlatin.art.br) 106-215 (2010)

*Lei de Confisc*ã*o de Propriedade Norte-Americana: Aspectos Domésticos e Internacionais (translated)*, Revista Ultima Ratio (Lumen Juris/Editora), Ano 3, No. 3, Ediçno Especial, Seminario Internacional: Perspectivas da Justiça Criminal, 43-66 (2010).

*Transnational Corruption in Brazil: The Relevance of the U.S. Experience with the Foreign Corrupt Practices Act*, in Temas de Anticorrupção & Compliance, (Alessandra Del Debbio et al) 55-78 (Elsevier, 2013).

*U.S.-Brazil and International Evidence Gathering: The Need for Better Procedural Due Process*, 99 Revista Brasileira de Ciãncias Criminais 241-74 (Dec. 2012).

*Potential Services Cooperation Between Barbados And Brazil*, BusinessBarbados, Feb. 17, 2010

http://www.businessbarbados.com/index.php?RootSection=34&Section=49&PostID=1062&News=1&TrackID=$TrackID

*Brazil-U.S. TIEA Scrutinized During Brazil’s Ratification Process*, 52 Tax Notes Int’l 57-61 (Oct. 6, 2008).

*U.S., Brazil Sign Tax Information Exchange Agreement,* 23 Int’l Enforcement L. Rep. 226 (June 2007).

*Criminal Justice in the Americas at the Millennium*, 11 Latin American Law & Busin. Rep. 29 (No. 9, Sept. 2003)(speech at the Brazilian Congress).

*Latin American (Brazilian) Investments in the U.S.: Regulatory and Investment Issues*, Tax Notes Int’l 675 (Aug. 21, 2006).

*Enforcement Aspects to Investing in Brazil* (41 pp.), Doing Business with Brazil, American Conference Institute, Oct. 16-27, 1995.

*Bank of America Settles Brazilian MSB Cases with NY District Attorney*, 22 Int’l Enforcement L. Rep. 462 (Dec. 2006).

*Brazil and Argentina Sign TIEA During Meeting of CIAT*, 21 Int’l Enforcement L. Rep. 290 (July 2005).

*Brazil Court Annuls Denial of Trevi’s Refugee Claim and Grants Habeas Corpus in Effort to Avoid Mexico Extradition and Moves Her from Prison,* 18 Int’l Enforcement L. Rep. 290 54 (Feb 2002).

*Brazil Cracks Organized Crime Smuggling Ring*, 21 Int’l Enforcement L. Rep. 274 (July 2005).

*Brazil Extradites Gloria Trevi Extradited to Mexico on Sex Abuse Charges*, 19 Int’l Enforcement L. Rep 43 (Feb. 2003).

*Brazil Extradites Spanish National for Trafficking of Women*, 19 Int’l Enforcement L. Rep. 465 (Dec. 2003).

*Brazil Investigates Dollar Exchanges and Proposes Legislative Project to Freeze Money*, 19 Int’l Enforcement L. Rep. 461 (Dec. 2003).

*Brazil Strengthens International Enforcement Cooperation*, 23 Int’l Enforcement L. Rep. 45 (Feb. 2007).

*Brazil Uncovers Complex International Fraud, Money Laundering in Banco Santos Collapse*, 22 Int’l Enforcement L. Rep. 298 (Aug. 2006).

*Brazil’s Supreme Court Denies Paraguay Extradition Request of General Oviedo,* 18 Int’l Enforcement L. Rep. 51 (Feb. 2002).

*Brazil Authorities Raid Law Firms, Arrest Lawyers for Financial Crimes*, 21 Int’l Enforcement L. Rep. 345 (Sept. 2005).

*Brazilian Police Arrest Egyptian National on Terrorism Charges in Egypt*, 18 Int’l Enforcement L. Rep. 275 (July 2002).

*Brazilian Police Arrest U.S. Citizen Wanted for Abduction and Murder*, 21 Int’l Enforcement L. Rep. 192 (May 2005).

*Ibero-American Network Will Strengthen International Enforcement Cooperation, Especially Against Corruption*, 21 Int’l Enforcement L. Rep. 285 (July 2005).

*International Operation Results in Arrests of Alleged Kingpins, Asset Forfeiture*, 22 Int’l Enforcement L. Rep. 306 (Aug. 2006).

*New York D.A. Indicts Brazilian Politician in Corruption-Money Laundering Scheme*, 23 Int’l Enforcement L. Rep. 197 (May 2007).

*New York, U.S. and Brazilian Authorities Execute Enforcement Operation against Money Transmitter*, 22 Int’l Enforcement L. Rep. 48 (Feb. 2006).

*Nigerian Commission Prosecutes 6 Nigerians for $242 Million Brazilian Bank Scam*, 20 Int’l Enforcement L. Rep. 146 (Apr. 2004).

*South Africa and Brazil Act Against Trafficking in Human Organ Ring*, 20 Int’l Enforcement L. Rep. 62 (Feb. 2004).

*U.S.-Brazil and International Evidence Gathering: the need for better procedural due process*, 99 RBC Crim 241-74 (2012)

*U.S. Case Shows Gaps in Int’l Environmental Law and Mahogany Trade* (with Jed Borod), 21 Int’l Enforcement L. Rep. 497 (Dec. 2005).

*U.S., Argentina, Brazil and Paraguay Form Transparency Trade Unit*, 22 Int’l Enforcement L. Rep. 174 (May 2006).

*Federal court ruling creates problems for mutual legal assistance cases*, AssetRecoveryWatch.com, May 6, 2009.

*Brazil Loses Restraining Order Against Opportunity Fund in Civil Asset Forfeiture Case*, 25 Int’l Enforcement Law Reporter 188 (May 2009).

*U.S. Appellate Court Denies Brazil Request to Freeze Assets,* 26 Int’l Enforcement Law Reporter 417 (Oct. 2010).

*Brazil-U.S. MLAT Used to Take Video Testimony in Trial of Midair Collision*, 27 Int’l Enforcement L. Rep. 754 (June 2011).

*Brazil-US TIEA: New Tax Enforcement Cooperation Foreseen*, 70 Tax Notes Int’l 1285-91 (June 24, 2013).

*Political Capture in the Petrobras Corruption Scandal: The Sad Tale of an Oil Giant*, 39 Fletcher Forum of World Affairs 87 (2015) (with Monica Arruda de Almeida).

*Halliburton Agrees to $29.2 Million Penalty with SEC to Settle FCPA Charges in Angola*, 33 International Enforcement Law Reporter 298 (Aug. 2017).

*Angola Charges Son of Ex-President with Defrauding $500 Million from Sovereign Wealth Fund,* 34 International Enforcement Law Reporter 139 (April 2018).

*U.S. and Brazil Start Permanent Forum on Security*, 34 Int’l Enforcement L. Rep. 367 (June 2018).

*Petrobras Agrees to Settle FCPA Charges with Justice and SEC in Joint Settlement with Brazil*, 34 Int’l Enforcement L. Rep. 504 (Oct. 2018).

*U.S. Arrests Brazilian Bribery Suspect on Brazil Extradition Request*, 35 Int’l Enforcement L. Rep. 19 (Jan. 2019).

*Angola Freezes Assets of Daughter of Former President*, 36 Int’l Enforcement L. Rep. 17 (Jan. 2019).

*Walmart Inc. and Brazilian Subsidiary Agree to Pay $137 Million, Sub Pleads Guilty to FCPA Violations,* 35 Int’l Enforcement L. Rep. 245 (July 2019).

*Rio’s Ex-Governor Testifies about Bribery in Olympic Bid While IPACS Establishes New Task Force*, 35 Int’l Enforcement L. Rep 247 (July 2019).

Angola-U.S. Sign Law Enforcement and Security MOU, 35 Int’l Enforcement L. Rep 301 (Aug. 2019).

*Brazil Extradites Convicted Murderer and Kidnapper to Chile*, 35 Int’l Enforcement L. Rep. 337 (Sept. 2019).

*Brazil Extradites Terrorist Wanted in 1977 Atocha Massacre to Spain*, 36 Int’l Enforcement L. Rep. 56 (Feb. 2020).

*Portuguese Court Seizes Dos Santos’ Shares in Telecom Firm*, 36 Int’l Enforcement L. Rep. 146 (Apr. 2020).

*Brazil Arrests Majority Shareholders of Avianca Holdings in Car Wash Corruption , Investigation*, 36 Int’l Enforcement L. Rep. 355 (Sept. 2020).

*Switzerland Freezes $900 Million from Former Head of Angolan Insurance Compan*y, Sept. 4, 2020 <https://ielrblog.com/index.php/2020/09/04/switzerland-free…nsurance-company.>

*Family Fraud: Son of Former Angolan President Sentenced for Fraud and Peddling Influence*, Oct. 1, 2020 [https://ielrblog.com/index.php/2020/10/01/**family-fraud-son…ddling-influence**/](https://ielrblog.com/index.php/2020/10/01/family-fraud-son-of-former-angolan-president-sentenced-for-fraud-and-peddling-influence/)

*J&F Pleads Guilty and Agrees to Pay More than $256 Million on FCPA Charges*, 36 Int’l Enforcement L. Rep. 396 (Oct,. 2020).

*U.S. Seizes $24 Million of Virtual Currency Pursuant to Brazil MLAT*, 36 Int’l Enforcement L. Rep. 283 (Nov. 2020).

*U.S. and Brazil Sign Anti-Corruption Provisions in Trade Facilitation Protocol*, 36 Int’l Enforcement L. Rep. 347 (Dec. 2020).

*South African Court Overturns Justice Minister: Former Mozambique Finance Minister Will Be Extradited to the United States Rather than Mozambique*, 37 Int’l Enforcement L. Rep. 423 (Nov. 2021).

*Brazil Extradites Mexican Cartel Leader to U.S. on Cocaine Trafficking Charges and Mexico Arrests His Sister*, 37 Int’l Enforcement L. Rep. 35 (Nov. 2021).

*Cape Verde’s Extradition of Venezuelan President’s Ally Prompts Retaliation*, 37 Int’l Enforcement L. Rep. 388 (Oct. 2022).

*Angolan Government Recovered Over $11 Billion of Embezzled Assets & U.S. Sanctioned 3 Angolans*, 38 Int’l Enforcement L. Rep. 111 (Mar. 2022).

*Cape Verdean Central Bank Orders Administrative Liquidation of Offshore Bank Owned by Isabel dos Santos*, 38 Int’l Enforcement L. Rep. 302 (Aug. 2022).

Unpublished Papers

“Trends in Latin American Illicit Financial Transactions, Typologies and Potential Responses” (Nov. 2005) (43 pp.).

“The Misuse of Financial Institutions and Services: The Impact of International Agreements” (Nov. 2005) (25 pp.).

“International Enforcement Dimensions of Brazilian Logging” (with Jed Borod) (Nov. 2005) (13 pp.).

“Contemporary Mechanisms to Combat Organized Crime in the Americas” (Nov. 2005) (58 pp.).